

**DRAFT**  
**ETHICAL GUIDELINES FOR RESOURCE MOBILISATION**  
**Sept 2004**

**Introduction**

1. Stop TB Partnership is a partnership with governments, organizations, individuals, public bodies, civil society, foundations and commercial enterprises to make the fight against TB everybody's business. The general principles of partnership building have been established on the basis of mutual respect, trust, transparency and shared benefit. These ethical guidelines underpin the sustainability of the Stop TB Partnership.

2. The Partnership has grown tremendously since its inception in 1998, and today includes over 300 members to contribute to the goal of halting and reversing the growth of TB by 2015. The projected resource requirements total US\$ 3.77 billion for 2004-2005 alone and are likely to increase in the coming years.

3. These ethical guidelines are to serve as a guide for all persons engaged in mobilising resources for the Stop TB Partnership. It is acknowledged that they can not possibly address all potential ethical issues, nonetheless the policy acts as a framework for reference in making decisions on whether or not the Partnership should accept a particular donation or not.

4. The purpose of these guidelines is to set out the concepts, principles and guidance for the Stop TB Partnership's resource mobilization efforts. They are based on the premise that ethics is not a simple set of rules on how to behave but a set of principles to be applied by persons engaged in mobilising resources for the work of the Partnership so that they are assisted in acting with ethical congruence in execution of their responsibilities in this area. These guidelines are based on best resource mobilisation practices with a view to support self regulation by the Partnership in this area and draw on a number of existing guidelines (cited below). It is expected that these ethical guidelines will be integrated in fund raising activities by persons and organizations mobilising resources for the work of the Partnership.

5. The key principles governing ethical resource mobilisation on behalf of the Partnership are that all actions must adhere to telling the truth, doing no harm, respecting confidentiality, being fair and socially responsible.

**Principles**

6. All resource mobilisation effort will need to comply with legal regulations in countries where fund raising activities are undertaken. It should be borne in mind that the different countries where the Partnership raises resources and where it intends to fund raise, there may be different statutes and legal principles that apply

to not-for-profit organizations and to resource mobilisation. These will need to be respected and followed.

7. Accept no funding or assistance from organizations or institutions whose activities or principles are directly inimical or hostile to the Stop TB Partnership's vision, mission, principles and program.

8. Accept no funding or assistance for the purposes of activities or actions outside the scope of legitimate action permitted by the Stop TB Partnership's international objectives and powers.

9. The Partnership will not accept funding or assistance that is the direct result of profit from illegal activities.

10. The Partnership will not accept funding or assistance from organizations that profit from products that contributes to lung disease or otherwise is clearly detrimental to health.

11. The Partnership will recognize that by taking public money there is a concomitant responsibility for its accountability in a transparent manner. With respect to the donors particular care will be taken so as not to mislead with incorrect information, and to be open in all activities; and not to over or under state the situation with respect to the financial requirements or the burden of TB. With respect to the general public, facts will be presented to it on the work of the Partnership so that it can make its own informed decisions regarding any support that the general public may wish to extend to the Stop TB Partnership.

12. The following WHO guidelines will be used for the specific purposes stated therein:

- Acceptability of donations in kind: WHO Manual, part VI, section 3; Guidelines for Price Discounts of Single-source Pharmaceuticals published by the WHO in 2003, WHO/EDM/PAR/2003.3.
- For Drug Donations: Interagency Guidelines for Drug Donations, Revised 1999 published by the WHO, WHO/EDM/PAR/99.4.
- For working with the Private Sector: WHO Guidelines on working with the private sector to achieve health outcomes of 30 November 2000, EB107/20. These WHO Guidelines cover such matters as contributions in kind, seconded personnel, cost recovery, hospitality and other important issues.

### **On-going Review Process**

13. These guidelines are intended to outline the basic principles by which members of the Stop TB partnership will accept or not accept donations to the Partnership activities. A small, flexible and responsive donation review committee will be established in conjunction with the Resource Mobilization Task Force to provide

advice to the Stop TB Executive Committee and/or Stop TB Secretariat on accepting donations from any new donors and to review these guidelines on a routine basis and make recommendations to the Stop TB Coordinating Board for further revisions.

- a. The donation review committee will be made up of 3-4 individuals, including someone from the donor community, private sector, the Secretariat, and an external expert.
- b. The Executive Secretary or a staff member of the Secretariat will inform the committee by telephone or email of any completely new donor to the Partnership or of any donation they believe may require further discussion before being accepted. The committee will quickly indicate whether they believe further discussion or review is necessary and undertake that discussion/review as soon as possible, and provide a recommendation to the Executive Committee.
- c. For any donation completely channelled or managed by WHO, the WHO review /guidelines on donations may be undertaken instead of review by this committee.